

Benchmark numerical simulations of rarefied non-reacting gas flows using an open-source DSMC code

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Abstract

Validation and verification represent an important element in the development of a computational code. The aim is establish both confidence in the algorithm and its suitability for the intended purpose. In this paper, a direct simulation Monte Carlo solver, called *dsmcFoam*, is carefully investigated for its ability to solve low and high speed non-reacting gas flows in simple and complex geometries. The test cases are: flow over sharp and truncated flat plates, the Mars Pathfinder probe, a micro-channel with heated internal steps, and a simple micro-channel. For all the cases investigated, *dsmcFoam* demonstrates very good agreement with experimental and numerical data available in the literature.

Keywords: DSMC, Benchmark, Open-source, Rarefied gas dynamics, Aerodynamics, Low/high speed flows.

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1. Introduction

The accuracy and reliability of computer predictions is the focus of much study and debate in the fluid dynamics community. Computational codes can only be considered reliable if they pass a through rigorous process of verification and validation (V&V). In an effort to standardize the V&V process, a significant amount of literature has been produced on the subject, e.g., [1–8]. The present study adopts the V&V definition stated in Ref. [5], i.e.,

Verification: the process of determining that an implemented model is capable of correctly performing the task it was designed for.

Validation: the process of determining the degree to which a model is an accurate representation of the real world from the perspective of the intended use of the model.

In other words, verification deals with mathematics and numerics; the conceptual model that relates to the real world is not an issue. Validation deals with the actual physics and addresses the accuracy of the conceptual model with respect to the real world, i.e., as measured experimentally [4, 6].

In this paper, high and low speed inert gas flows are investigated in simple and complex geometries using the direct simulation Monte Carlo (DSMC) method [9]. DSMC is the dominant computational technique for numerical investigations of gas flows that fall within the transition-continuum Knudsen number (Kn) range; where

$$Kn = \frac{\lambda}{L}, \quad (1)$$

and λ is the mean free path of the gas, and L is a characteristic length scale of the system. When the Knudsen number is small ($Kn < 0.01$), non-equilibrium effects are insignificant and the standard Navier-Stokes-Fourier (NSF) equations can accurately predict the gas behavior. As Kn increases ($0.01 < Kn < 0.1$), regions of non-equilibrium begin to appear near surfaces as the molecule-surface interaction frequency is reduced; the most recognizable effect of this is velocity

slip and temperature jump, and the NSF equations with slip and jump boundary conditions can still be used effectively. However, once the Knudsen number increases into the transition-continuum ($0.1 < Kn < 10$) and free-molecular ($Kn > 10$) regimes, the NSF equations cannot predict the gas behavior. Recourse to solutions of the Boltzmann equation must be made, and DSMC has proven to be the most reliable method for this purpose in the transition regime, where non-equilibrium effects dominate the gas behavior but inter-molecular collisions are still important. Different forms of Knudsen number can be required to predict different types of continuum breakdown, e.g., a Knudsen number based on local flow gradient lengths can be used across shock waves [10–12].

This paper is intended to be an extension of the DSMC code and results published by Scanlon *et al.* [13], and demonstrates new developments and capabilities of the *dsmcFoam* code.

2. Code development and new features

DSMC is a stochastic particle-based method that provides a solution to the Boltzmann equation by emulating the physics of a real gas. A discrete set of simulator particles are tracked in time and space as they interact with each other and the boundaries of the simulation domain. Particle movements are handled deterministically according to the local time step and their velocity vectors. Once all movements have been completed, inter-molecular collisions are calculated in a stochastic manner in numerical cells. The first key assumption of the method is that a single DSMC simulator particle can represent any number of real atoms or molecules. This can drastically reduce the computational expense of a simulation. Second, it is assumed that particle movements and collisions can be decoupled, which increases the allowable time-step size by several orders of magnitude in comparison with fully-deterministic particle methods, such as molecular dynamics.

The *dsmcFoam* code is employed in the current paper to solve rarefied non-reacting gas flows over flat plates, the aerothermodynamics of the Mars

Pathfinder probe, and pressure-driven flow in micro- channels. This new free-ware, based on Bird’s algorithms, has been developed within the framework of the open-source computational fluid dynamics toolbox OpenFOAM [14], in
60 conjunction with researchers at the University of Strathclyde, as described in Ref. [13]. Recent *dsmcFoam* code improvements [15, 16] not described in Ref. [13] include: a robust measurement framework, vibrational molecular energy, the quantum-kinetic (QK) chemistry model [17], and new boundary conditions, such as implicit, prescribed pressure inlets and outlets for low speed
65 flows [18].

3. Code sensitivity

The accuracy of a DSMC simulation relies principally on four main constraints: (i) the computational cell size must be smaller than the local mean free path if possible collision partners are restricted to a particle’s current cell,
70 which is the case in *dsmcFoam*; (ii) the simulation time step must be chosen so that particles only cross a fraction of the average cell length in each time step, and the time step must also be smaller than the local mean collision time; (iii) the number of particles per cell must be large enough to preserve collision statistics; and (iv) the statistical scatter is determined by the number of
75 samples, and for steady state problems sampling must not be started until a sufficient transient period has elapsed.

In this section we examine whether the DSMC requirements described above are rigorously respected. For this purpose, rarefied flow over a zero-thickness flat plate was chosen as a test case.

80 The freestream conditions are the same to those investigated by Lengrand *et al.* [19]. In this experimental study, a sharp flat plate of 0.1 m streamwise length and 0.1 m width was positioned at a distance from a nozzle producing a nitrogen flow with a freestream Mach number of 20.2, temperature of 13.32 K and pressure of 6.831×10^{-2} N/m².

85 In the computational solution, the geometry was constructed as a 3D flat
plate, 0.1 m long and 0.1 m wide, positioned 0.005 m downstream of the uni-
form nitrogen stream that is parallel to the plate itself. Further details of the
freestream conditions are given in Table 1. Based on these properties, and con-
sidering the flat-plate length as the characteristic length, the Knudsen number
90 (Kn_L) and Reynolds number (Re_L) were 0.0235 and 2790, respectively.

Table 1: Freestream conditions for flat-plate simulations.

Parameter	Value	Unit
Velocity (V_∞)	1503	m/s
Temperature (T_∞)	13.32	K
Number density (n_∞)	3.719×10^{20}	m^{-3}
Density (ρ_∞)	1.729×10^{-5}	kg/m^3
Pressure (p_∞)	6.831×10^{-2}	Pa
Dynamic viscosity (μ_∞)	9.314×10^{-7}	$\text{N}\cdot\text{s}/\text{m}^2$
Mean free path (λ_∞)	2.350×10^{-3}	m
Overall Knudsen (Kn_{L_p})	0.0235	
Overall Reynolds (Re_{L_p})	2790	

The computational domain used for the calculation was made large enough
such that flow disturbances did not reach the upstream and side boundaries,
where freestream conditions were specified. A schematic of the computational
domain and boundary conditions is given in Fig. 1. Side I-A represents the
95 flat-plate surface, and diffuse reflection with complete thermal accommodation
to the surface temperature is the boundary condition applied to this surface.
Side I-B represents a plane of symmetry. Sides II and III are boundaries with
the specified freestream conditions; particles crossing into the computational
domain are generated at these boundaries. Finally, side IV is defined as a
100 vacuum boundary condition; the option for vacuum is suitable for an outflowing
gas as there are no particles moving upstream if the Mach number is greater
than 3.0 [9].

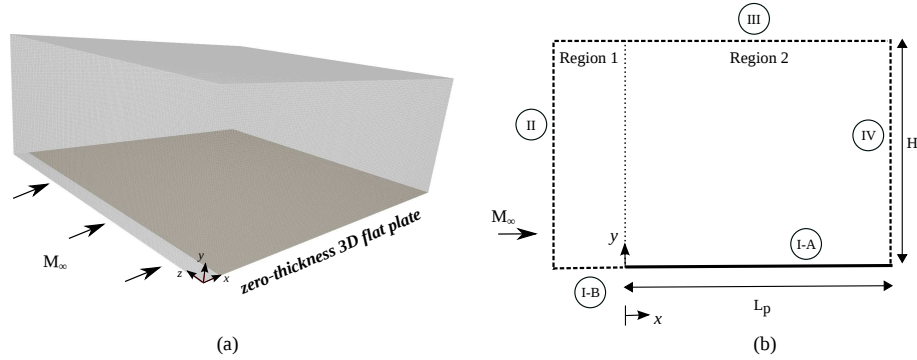


Figure 1: (a) 3D flat plate computational domain, and (b) specified boundary conditions.

In order to examine the effect of the grid resolution on the wall heat transfer and pressure coefficients, a set of simulations using standard, fine, and coarse meshes were performed. Grid independence was investigated by performing calculations for different numbers of cells in the x - and y -directions, and then comparing with a solution calculated on the standard grid. Figure ?? shows the standard computational domain which was divided into two regions. Region 1 consists of 10 cells along side I-B and 80 cells along side II, while region 2 consists of 200 cells distributed along side I-A and 80 cells normal to the plate surface, i.e., along side IV. In this way, the effect of altering the cell size in the x -direction may be analyzed for coarse and fine grids by halving or doubling the number of cells with respect to the standard grid, while the number of cells in the y -direction is kept constant. The same procedure is adopted for the y -direction, i.e., the cell size is altered keeping the number of cells in the x -direction constant. According to Figure 2(a), the grid sensitivity analysis shows good agreement for the three mesh sizes investigated indicating that the results were essentially grid-independent.

In a similar manner to the grid independence study, the influence of the time step size on the aerodynamic properties was examined. The time step is chosen to be smaller than both the mean collision time (MCT) and the cell residence time (Δt_{res}), with the latter being the time taken by a DSMC particle to cross a typical computational cell in freestream conditions. Based on these conditions,

the reference time step (Δt_{ref}) was set to be 6.28×10^{-8} s. Then, two time
125 steps different from the Δt_{ref} were investigated ($\Delta t_{ref}/4$ and ($\Delta t_{ref}) \times 4$). As
shown in Fig. 2(b), the resulting simulations are essentially independent of the
time step size, so long as the time step and cell size requirements are respected,
in conjunction with the other good DSMC practice conditions described above.

In DSMC simulations the intermolecular collisions are the principal driver
130 in the flow-field development. These intermolecular collisions occur in each
cell, and sufficient particles should be employed not only to reduce the sta-
tistical error during the sampling process, but also to ensure the accuracy of
the simulated collision rate. However, the use of a large number of particles
greatly increases the computational effort. The balance between computational
135 expense and accuracy has been studied by many authors [20–23], and 30-40 par-
ticles per cell is commonly employed [24–28]. However, there are some DSMC
simulations [29, 30] that employed as few as 10 particles per cell, and some com-
putations [31] as many as 50 to 120. The number of particles required is heavily
influenced by the choice of collision model, and it is well-known that the major-
140 rant frequency scheme can use fewer particles than the no time-counter-method
(NTC). Recent work has focused on reducing the number of particles required
even further [32] using novel collision partner selection schemes. *dsmcFoam*
uses the NTC method, so requires a reasonably large number of particles in
order to recover the collision statistics.

145 In order to clarify this issue, we executed an additional study to consider
the influence of the number of simulated particles on the *dsmcFoam* solution of
a hypersonic flow over a flat plate. Considering that the standard mesh corre-
sponded to a total of 43.7 million particles (or 13 particles per cell on average),
two new cases were investigated using the same mesh. These cases corresponded,
150 on average, to 21.8 and 87.4 million particles in the entire computational do-
main. The effects of such variations on the heat transfer and pressure are shown
in Fig. 2(c). According to these results, the standard grid with a total of 43.7
million particles is considered sufficient for the present computations.

The accuracy of the DSMC method may also be influenced by the number

155 of time steps that results are sampled over (N_s) [24–30]. Since the macroscopic
properties of the flow are obtained by sampling all particles within a cell, the
number of samples must be sufficient to minimize the statistical error. The
magnitude of the statistical error reduces with the square root of the sample
size, and it is important to determine the value of N_s that provides acceptable
160 data scattering. For this purpose, the standard grid with approximately 43.7
million particles was run for 50,000, 100,000, 200,000, and 300,000 sampling
time steps. Figure 2(d) shows very good agreement across the range of number
of samples considered. Based on these plots, an N_s of 300,000 was considered
as providing an acceptable fluctuation level for the case investigated.

165 In this section, hypersonic non-reacting gas flow simulations over a zero
thickness flat plate were performed. Grid spacing, time step size, number of
particles per cell, and number of computational samples were examined in or-
der to test that the assumptions adopted as standard would lead to results
independent of the grid, time step and number of statistical samples. On ex-
170 amining these results, no appreciable changes were observed; however, altering
the parameters mentioned above, significantly impacted on the computational
efficiency of the simulations. In the next section, we adopted the standard pro-
cedure for all of the simulations, and the results obtained using *dsmcFoam* are
compared to other numerical and experimental data.

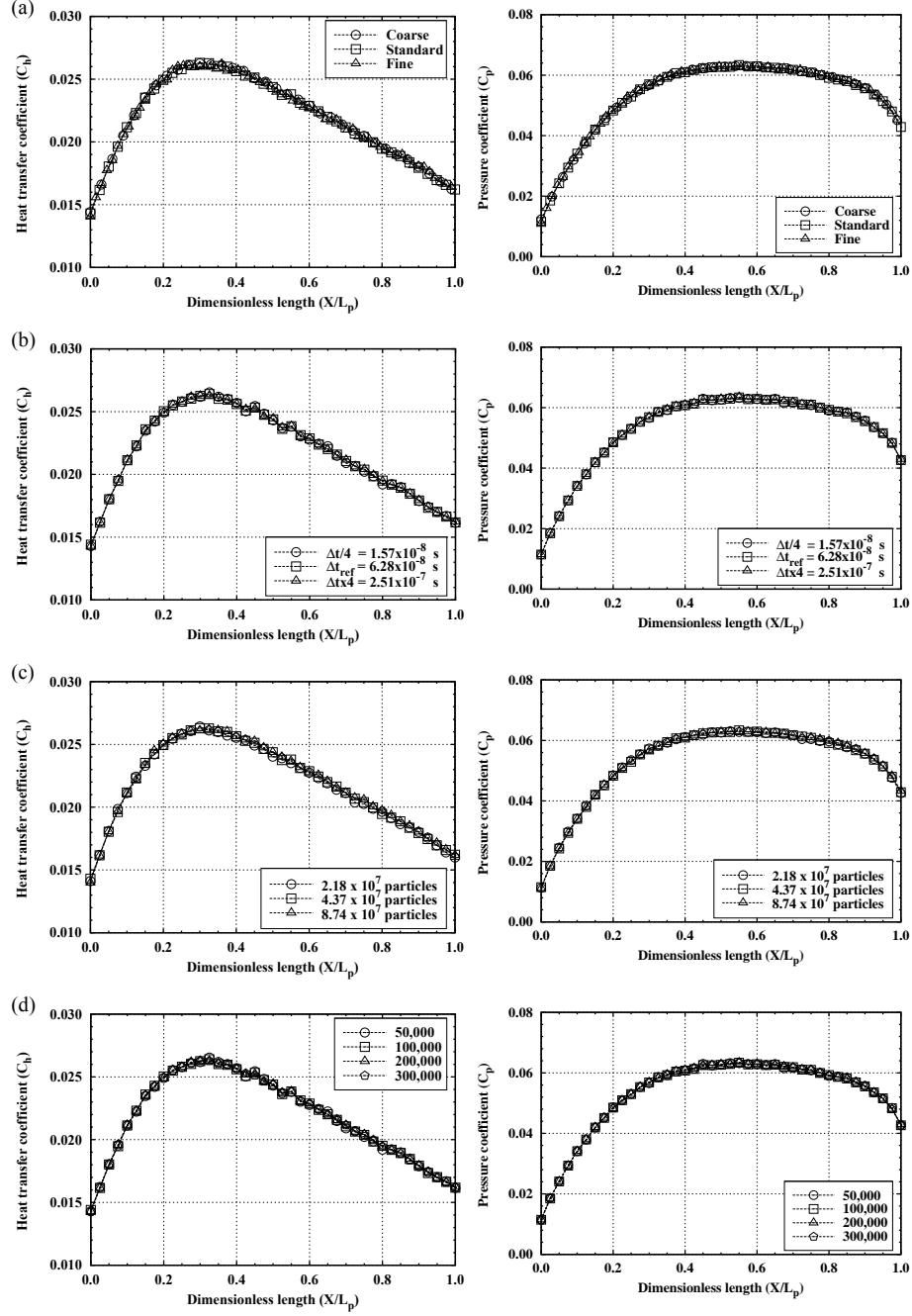


Figure 2: (a) Effect of varying the number of cells, (b) the time step, (c) the number of samples, and (d) number of DSMC particles per cell on the heat transfer (left column) and pressure (right column) coefficients in the zero-thickness flat-plate case.

175 4. Benchmark test cases for *dsmcFoam*

The validation strategy consists of comparing the results obtained using *dsmcFoam* with other numerical, analytical, or experimental results available in the literature. In the following sections, the validation process for *dsmcFoam* is discussed in detail.

180 4.1. Benchmark Case A: Flow over sharp and truncated flat plates

Rarefied hypersonic flow over flat plates has been studied theoretically, experimentally, and numerically by many authors, e.g., [33–40]. The extremely simple geometry makes the flat plate one of the most useful test cases for numerical validation purposes.

185 The test cases we choose to validate *dsmcFoam* for non-reacting flows are based on the experimental-numerical study conducted by Lengrand *et al.* [19] and Allègre *et al.* [37]. In their experimental work, sharp and truncated flat plates of 0.1 m length (L_p), 0.1 m width (W_p), and 0.005 m thick (T_p) were positioned in a flow of nitrogen at two angles of incidence, 0° and 10° . The
190 physical model was supplied with an internal water cooling system which maintained the wall temperature at 290 K. Wall pressure and heat flux measurements were made by placing pressure transducers and chromel-alumel (Ch/Al) thermocouples along the longitudinal symmetry axis of the flat plates. In addition, density flowfield measurements were carried out by employing an electron beam
195 fluorescent technique. The uncertainties in the experimental pressure, heat flux and density measurements were estimated to be 15

In addition to the experimental work, numerical simulations were performed using the NSF equations [19, 37] and the DSMC method [19, 37, 39]. The NSF results were obtained at ONERA using an implicit finite-volume method taking
200 into account velocity slip and temperature jump at the wall. The DSMC in-house code were developed by the Laboratoire d’Aérodynamique of the Centre National de la Recherche Scientifique (CNRS) [19] and the Institute of Space and Aeronautical Science (ISAS) [39]. In the DSMC computations performed

by Lengrand *et al.* [19], vibrational molecular energy was neglected and the
205 Larsen-Borgnakke model [41] was employed for rotational-translational energy
exchange. Particle collisions and collision sampling were performed using the
variable hard sphere (VHS) model and the time-counter technique (TC) [9], re-
spectively. However, the diatomic molecular collision (DMC) model [42] and the
null-collision technique (NCT) [43] were adopted by Tsuboi *et al.* [39]. Since the
210 data and assumptions employed in each method are available in the literature,
the discussions below are limited only to details considered necessary.

In order to validate *dsmcFoam*, 3D sharp and truncated flat plates, as il-
lustrated in Fig. 3, with the same dimensions as in Lengrand *et al.* [19] and
Allègre *et al.* [37], were modeled. In the present computational solution, the
215 two plates were immersed in nitrogen gas with an inlet imposed 0.005 m up-
stream of the plate. The freestream conditions (Table 1) and the computational
domains are similar to those presented in Section 3. The computational mesh
was composed of 4.7 million and 3.4 million cells for the sharp and truncated
cases, respectively. On average, 13 DSMC particles per cell were employed in
220 the simulations; the VHS collision model was applied, and the energy exchange
between the translational and rotational modes was modeled using the Larsen-
Borgnakke algorithm [41]. The NTC [44] technique was used to control the
molecular collision sampling. The value of rotational collision number (Z_{rot})
was set to be 1 for the sharp plate to match that used by Lengrand *et al.* [19].
225 No information for Z_{rot} in the truncated flat-plate case was given by Allègre *et al.* [37],
therefore we used $Z_{rot} = 1$ and $Z_{rot} = 5$ to compare with their results.
Additional simulation parameters are given in Table 2.

The resulting normalized density (ρ/ρ_∞) contours for zero-thickness, sharp,
and truncated flat plates are shown in Fig. 4, compared with other numerical
230 and experimental results. Despite the different energy redistribution models
and collision techniques used in each of the simulations, a very good qualitative
agreement is evident between the *dsmcFoam* results and the numerical and
experimental studies presented by Allègre *et al.* [37] and Tsuboi *et al.* [39].

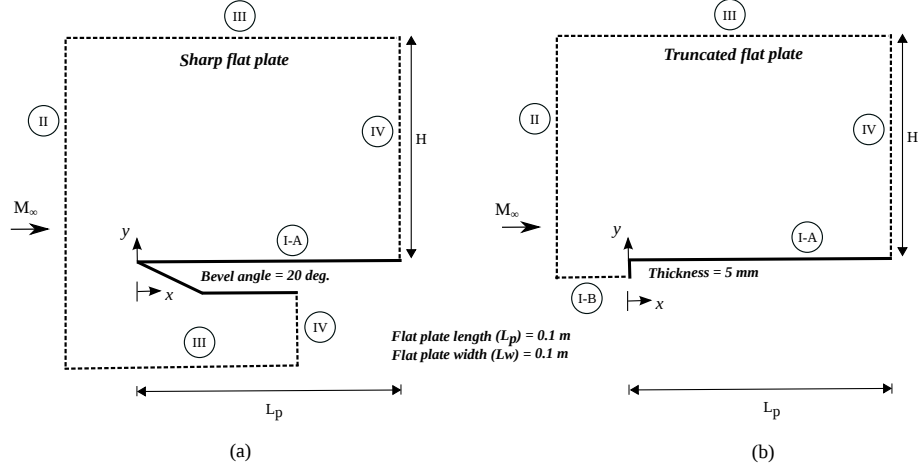


Figure 3: 2D schematic of sharp (a) and truncated (b) flat plates.

Table 2: Numerical parameters for the flat-plate simulations.

Parameters	Z_{rot}	ω	d_{ref} [m]	Δt [s]	MCT [s]	λ_∞ [m]
Sharp	1	0.74	4.17×10^{-10}	6.28×10^{-8}	1.90×10^{-5}	3.35×10^{-3}
Truncated	1 and 5	0.74	4.17×10^{-10}	6.28×10^{-8}	1.90×10^{-5}	3.35×10^{-3}

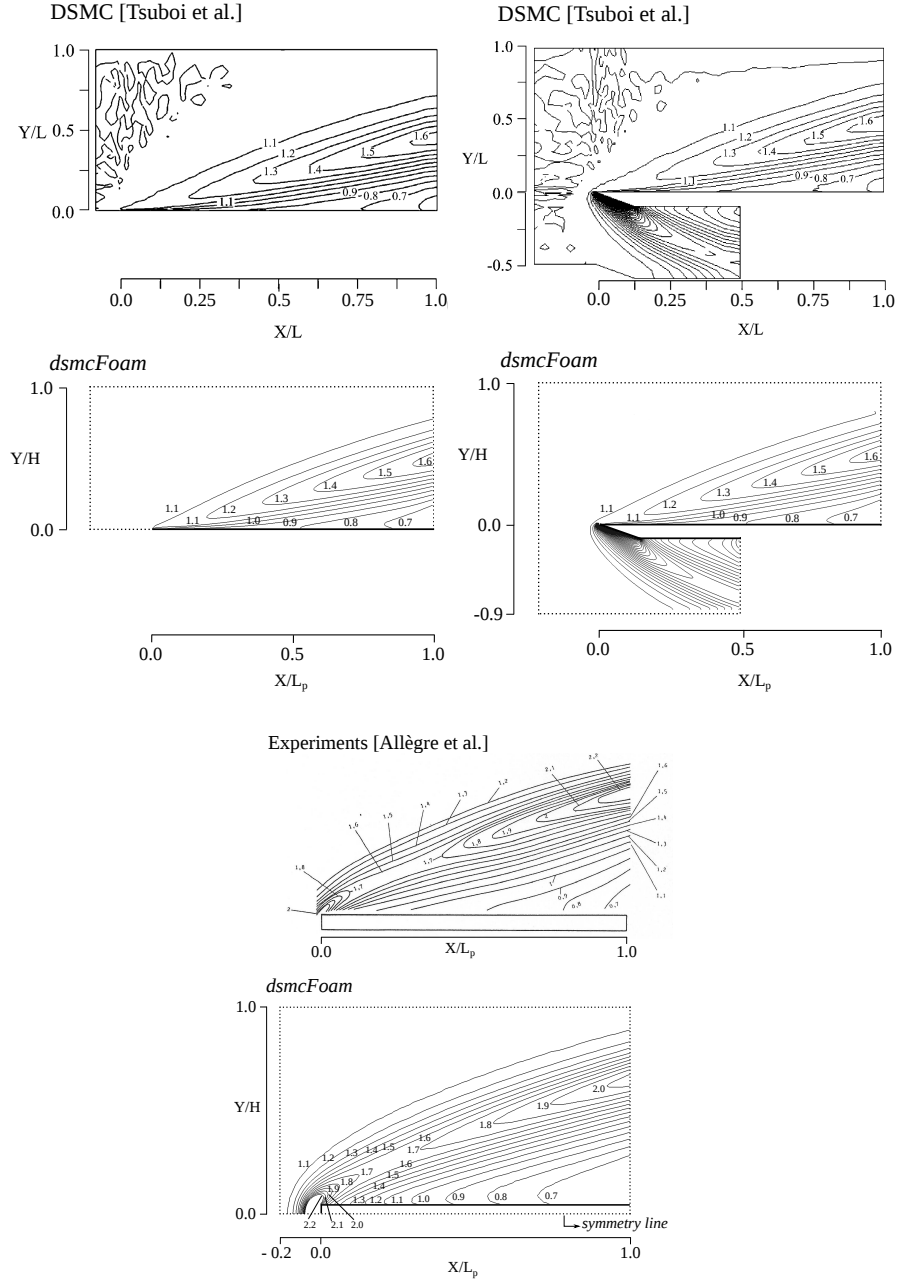


Figure 4: Density ratio (ρ/ρ_∞) contours around zero thickness (top left), sharp (top right), and truncated (bottom) flat plates.

The normalized density (ρ/ρ_∞) and temperature (T/T_∞) distributions normal to the sharp flat-plate surface at the non-dimensional streamwise location $X/L_p = 0.75$ are shown in Fig. 5. Good agreement is found between the DSMC calculation and the experimental results. The density peak is captured well by the present simulation, and the normalized density profile follows the same trend of the numerical and experimental results performed by Tsuboi *et al.* [39] and Lengrand *et al.* [19], respectively. The NSF simulations of Lengrand *et al.* [19] were not able to predict correctly the density profile at the position considered.

Analyzing the translational and rotational temperature profiles in Fig. 5(b), a difference between the rotational and translational temperatures is observed, which indicates thermally non-equilibrium conditions. The normalized temperature is low close to the surface, increases to a maximum value inside the shock layer at $Y = 0.05$ and then declines to the freestream temperature at the upper boundary condition. In general, there is very close agreement of translational and rotational temperature profiles from *dsmcFoam* and the CNRS DSMC code [19].

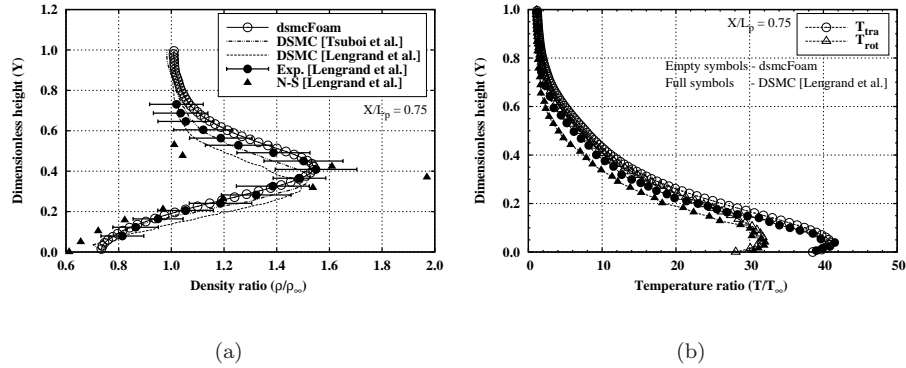


Figure 5: (a) Normalized density (ρ/ρ_∞) and (b) normalized temperature (T/T_∞) profiles normal to the sharp flat plate at streamwise position $X/L_p = 0.75$.

Figure 6 shows the heat transfer (C_h), pressure (C_p) and skin friction (C_f) coefficients along the flat plates. For the sharp flat plate case (left column) the comparison of the *dsmcFoam* results with the experimental data is better than than found by Lengrand *et al.* [19] and Tsuboi *et al.* [39]. The skin friction coefficient shows good agreement with the Lengrand *et al.* [19] DSMC results at the leading edge and from position $X/L_p \cong 0.4$ to 1.0. When the NSF calculations for C_h and C_p for a rarefied flow over a sharp flat plate are compared with experimental and DSMC results, it is clear that the CNRS NSF simulations were unable to capture the surface quantities for the conditions investigated.

According to Lengrand *et al.* [19], possible sources of experimental error are related to uncertainties in the freestream conditions, measurement procedures, and the influence of the leading edge bluntness or bevel angle. In order to investigate the impact of the leading edge bluntness, Fig. 6 (right column) shows comparisons of the *dsmcFoam* results with experimental data from Allègre *et al.* [37] and previous DSMC simulations. From the heat transfer (C_h) plot, excellent agreement is seen between the DSMC simulations apart from at the leading edge ($X/L_p = 0$) where the *dsmcFoam* results do not tend to zero. Both computations demonstrated significant difference when compared with experimental data.

In contrast with C_h results, the pressure coefficient (C_p) shows very good agreement between numerical and experimental data. However, the numerical results show slightly higher values for C_p at the flat-plate leading edge. For the skin friction coefficient along the truncated flat plate, no numerical results were available in the literature. Since the value of Z_{rot} was not specified in Ref. [37], *dsmcFoam* computations for rarefied gas flow over the truncated flat plate were performed with $Z_{rot} = 1$ and 5; however, no significant differences in the surface quantities were observed.

In summary, hypersonic non-reacting gas flow over three-dimensional zero-thickness, sharp, and truncated flat plates was simulated using *dsmcFoam*. Excellent agreement between numerical and experimental data for the density contours was found. The results also demonstrated that the shape of the leading

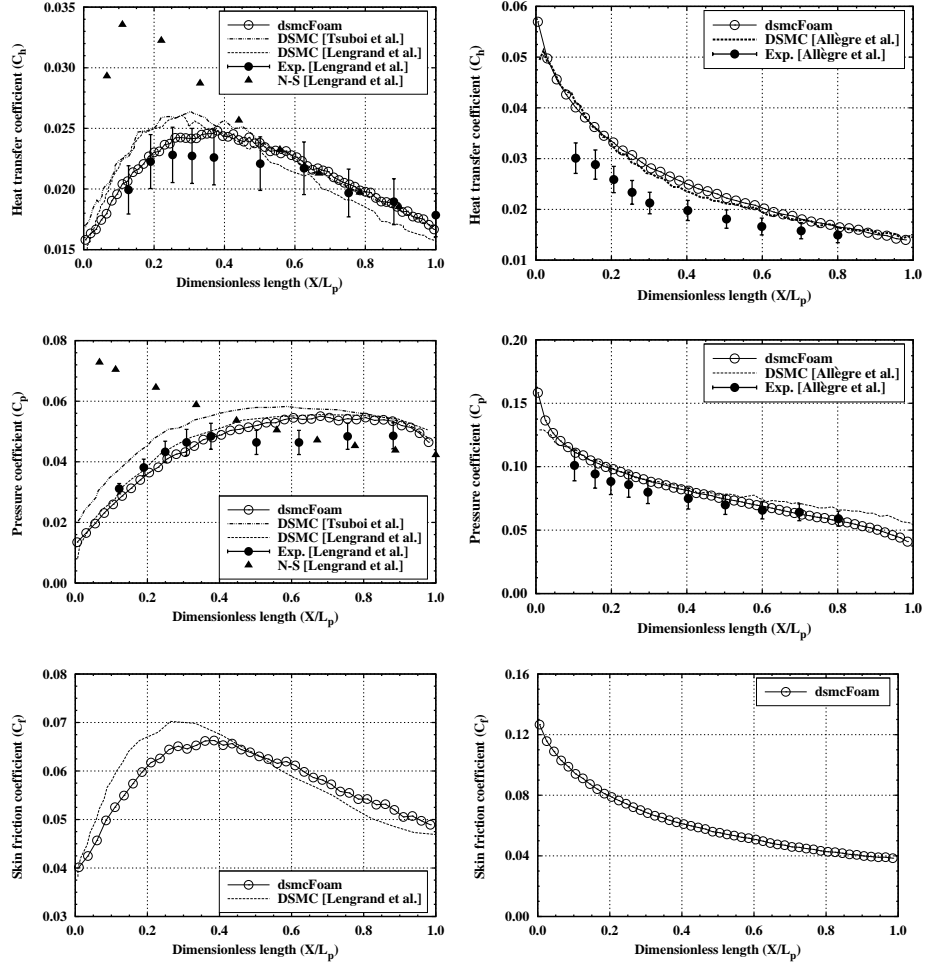


Figure 6: Comparisons of heat transfer (C_h), pressure (C_p), and skin friction coefficients (C_f) from *dsmcFoam* simulations and independent numerical/experimental data for sharp (left) and truncated (right) flat plates.

edge can affect the flow and shock structure over the plate. For the aerodynamic properties on a sharp flat plate, satisfactory agreement was found from the leading edge up to $X/L_p = 0.2$; however, certain discrepancies were observed further along the plate. In contrast, the truncated case exhibited differences between the numerical and experimental data in the leading edge region, while better agreement was evident towards the trailing edge. Comparisons between the

DSMC and NSF results demonstrate that the continuum approach, even when using slip velocity and temperature jump boundary conditions, cannot be used with confidence to predict these types of thermodynamically non-equilibrium flows.

4.2. Benchmark Case B: Flow over a 70° blunted cone

The flow over blunt bodies at high speeds and high altitudes displays complex flow interactions, and requires a precise determination of the heating rate, aerodynamic forces, and the flowfield surrounding the body. The characterization of the wake region is also a key factor for the success of re-entry missions.

In an experimental set-up, a 70° blunted cone, identical in geometric proportions to that of the Mars Pathfinder probe, was chosen by the AGARD Working Group 18 [45]. Rarefied flow experiments were performed in five different facilities: the SR3 wind tunnel at CNRS-Meudon, the V2G, V3G and HEG wind tunnels at DRL-Göttingen, and the LENS wind tunnel at the Buffalo Research Center (Calspan, University of Buffalo, USA). The experimental test conditions used in each of these experimental facilities are available in Ref. [45].

Allègre *et al.* [46–48] provided detailed information regarding experiments conducted at CNRS-Meudon. The CNRS group employed three freestream flow conditions, representative of different levels of rarefaction, and three probe models, each one having a base and afterbody sting diameter of 0.05 and 0.0125 m, respectively.

The CNRS model utilized for the flowfield density measurements was made of brass, water cooled, with a wall temperature remaining close to 290 K during all measurements. An electron beam fluorescent technique was used to measure the density field around the blunted cone [46]. For the aerodynamic force measurements, the model was made of aluminum, uncooled, with the wall temperature estimated to be close to 350 K. The model was directly attached to an external balance providing direct measurements of drag, lift, and pitching moment, and indirect determinations of the center of pressure at different angles of attack [47]. For heat transfer measurements, a steel model was used

in which the wall temperature was kept close to 300 K [48]. Chrome-alumel (Ch/Al) thermocouples were embedded through the wall thickness at nine locations along the forebody, base plane, and sting, and the thin-wall technique was applied to measure the heat fluxes on the steel probe.

An extensive set of simulations at these experimental test conditions were completed using both DSMC [49–58] and Navier-Stokes [59–62] methods prior to the release of the experimental data. In this way, it was possible to perform a blind validation test of the computational codes.

In the present work, the simulated freestream conditions are the same as those used in the SR3 low-density wind tunnel (case 1) [46–48]. The Mars Pathfinder probe was immersed in a non-reacting uniform nitrogen flow of velocity, mass density, and temperature equal to 13.316 m/s, 1.73×10^{-5} kg/m³, and 13.316 K, respectively. Energy exchange was allowed between the translational and rotational modes and was controlled by the Larsen-Borgnakke phenomenological model [41]. Molecular collisions were modeled using the variable hard sphere (VHS) model [63], and the no-time-counter (NTC) collision sampling technique [44]. In addition, simulation parameters for N₂ are: reference diameter (d_{ref}), rotational collision number (Z_{rot}) and viscosity index (ω) set equal to 4.17×10^{-10} m, 5, and 0.74, respectively [9].

Figure 7(a) shows the experimental model configuration and Fig 7(b) gives an amplified view of the *dsmcFoam* computational grid. The computational grid was composed of a mixture of 7.1 million hexa- and polyhedral cells with, on average, 10.5 simulated particles per cell. A uniform hexahedral mesh, with cell sizes smaller than the freestream mean free path, is used for most of the domain, with some polyhedral cells to capture the surface geometry. Each simulation was performed using 240 processors on the parallel machine at the University of Strathclyde, and 10 days were required to fully resolve each of the cases.

The computational domain was large enough so that the upstream, downstream, and upper boundary conditions could be specified as freestream. In order to minimize computational effort, quarter symmetry was employed for 0° angle of attack. Undisturbed freestream conditions were imposed 0.02 m

upstream of the probe, and the computational domain normal to the probe extended a distance 0.08 m in the y - and z -directions. The surface temperature was
 350 set at 290 K, 300 K, and 350 K for the density, heat transfer and aerodynamic force measurements, respectively. The surface boundary condition assumed the gas-surface interaction to be diffuse, with full thermal accommodation at the specified surface temperature.

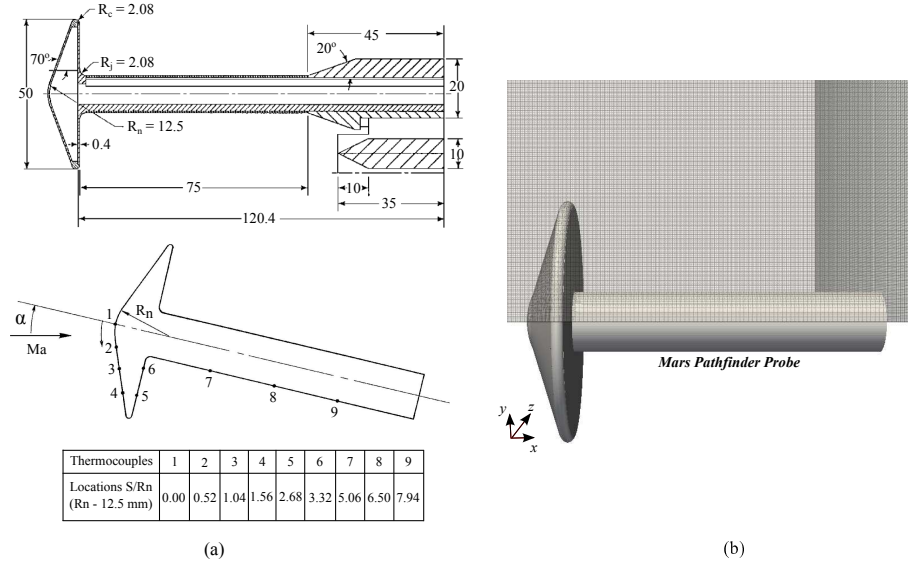


Figure 7: (a) Experimental 70° blunted cone model for heat transfer measurements with thermocouple locations from [48], and (b) schematic of the corresponding *dsmcFoam* 3D computational mesh.

In Fig. 8 experimental density flowfields at different angles of attack [46] are
 355 compared with the results from the *dsmcFoam* calculations. Qualitatively, the results show a good level of agreement between the experimental and *dsmcFoam* results. According to Allègre *et al.* [46] the flowfield density measurement accuracy is estimated to be 10%, except in the region encompassing the forward shock wave, which is characterized by high density gradients and has a higher
 360 uncertainty.

Comparison is also made with the DAC (DSMC Analysis Code) simulations, developed at the NASA Johnson Space Flight Center [64] and available

in Ref. [49]. In Fig. ??, excellent agreement of the density ratio (ρ/ρ_∞), overall temperature (T_{ov}), and Mach number (M) contours at 0° degree angle of attack is found between the codes; where

$$T_{ov} = \frac{T_{trans}\xi_{trans} + T_{rot}\xi_{rot}}{\xi_{trans} + \xi_{rot}}, \quad (2)$$

with T_{trans} and T_{rot} the translational and rotational temperatures of the gas, respectively, and ξ_{trans} and ξ_{rot} the number of degrees of freedom in the translational and rotational modes, respectively.

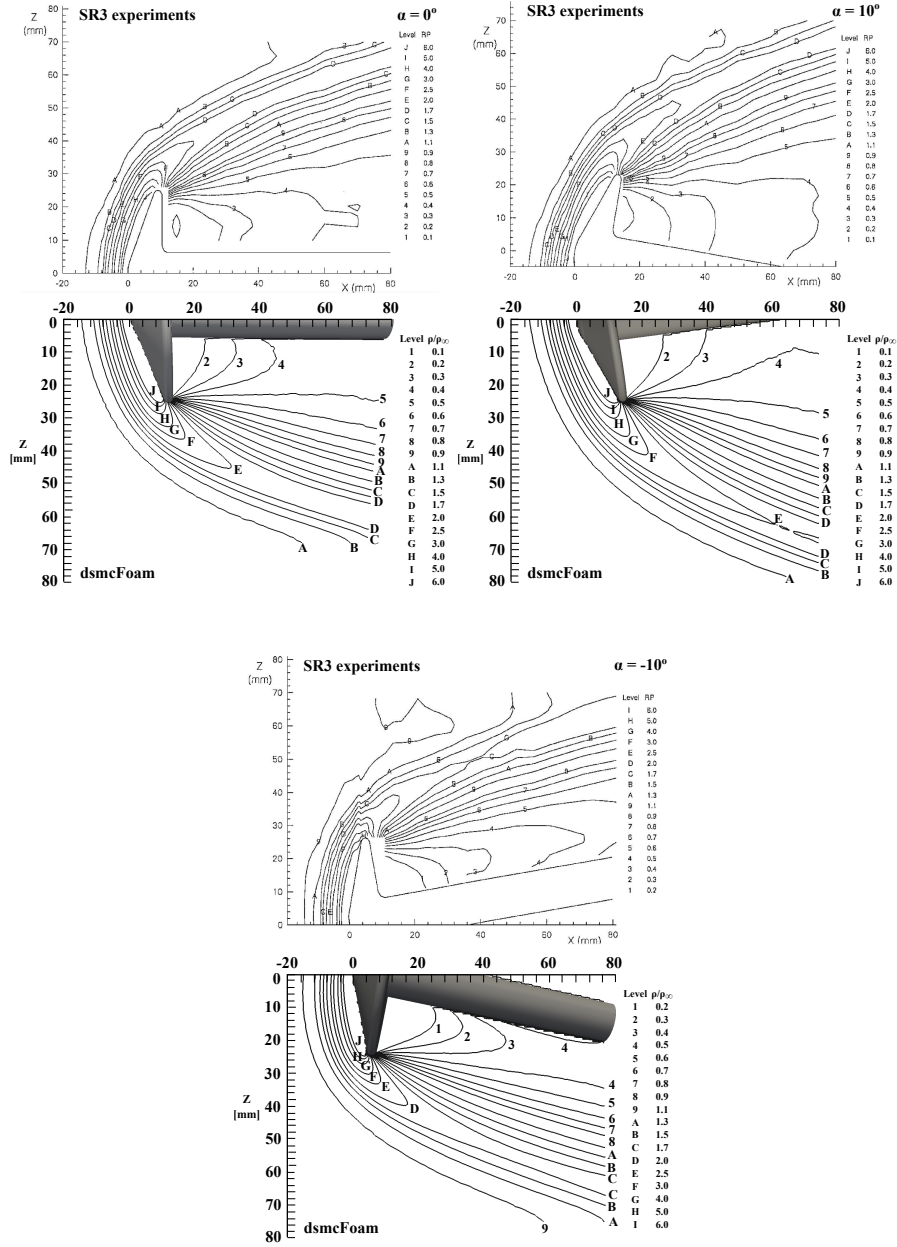


Figure 8: Density ratio (ρ/ρ_∞) distributions from *dsmcFoam*, and from the SR3 experiments [46] at different angles of attack (α), and Mach number 20.2.

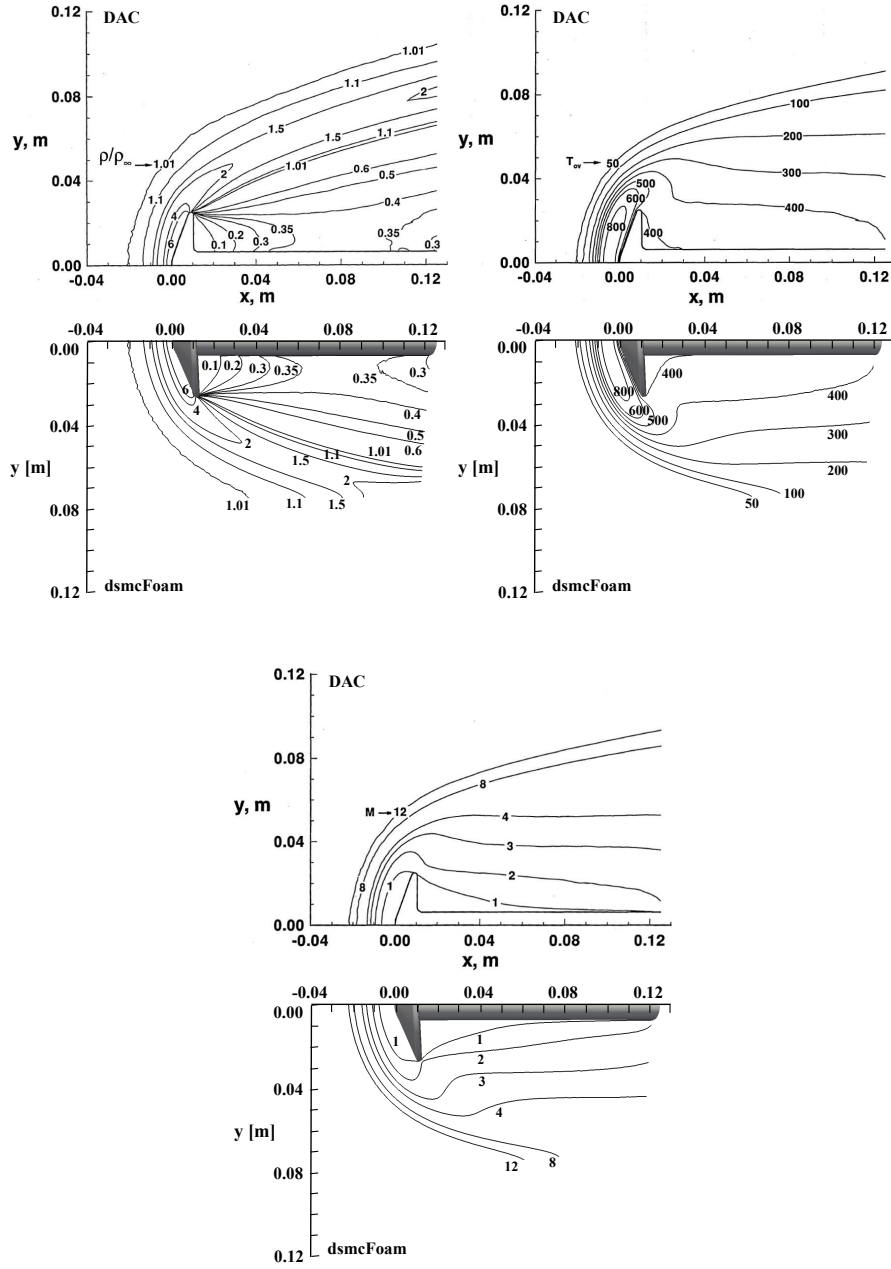


Figure 9: (top left) Density ratio (ρ/ρ_∞), (top right) overall temperature (T_{ov}), and (bottom) Mach number (M) distributions from *dsmcFoam* and from the DAC simulations [49] at 0° degree of attack, and Mach number 20.2.

The aerodynamic forces and moments have also been experimentally and
 365 numerically investigated [47, 51, 53] for different angles of attack. In Figs. 10
 and 11 a satisfactory concurrence is found between the experimental data and
dsmcFoam simulations. According to Allègre *et al.* [47], the global uncertainty in
 the aerodynamic coefficients and forces did not exceed $\pm 3\%$, and the maximum
 difference between measured and simulated results was 8.6% on the normal force
 370 at 30° angle of attack. Table 3 shows the drag and lift coefficients, and the
 axial and normal forces coefficients, from the experimental measurements and
 numerical predictions using the *dsmcFoam* code.

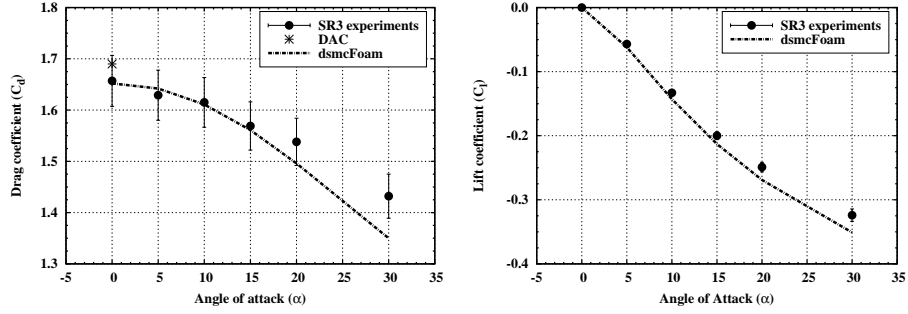


Figure 10: Drag (C_D) and lift (C_L) coefficients at different angles of attack (α).

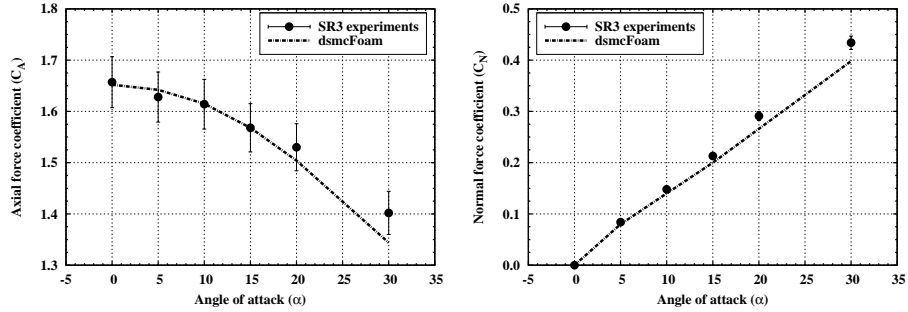


Figure 11: Axial (C_A) and normal (C_N) force coefficients at different angles of attack (α).

Table 3: Experimental and *dsmcFoam*-calculated aerodynamic and force coefficients.

Angle	Drag			Lift			Axial force			Normal force		
	exp.	calc.	% diff.	exp.	calc.	% diff.	exp.	calc.	% diff.	exp.	calc.	% diff.
0°	1.657	1.652	0.302	0.000	0.000	0.000	1.657	1.652	-0.302	0.000	0.000	0.000
5°	1.629	1.642	0.798	-0.057	-0.062	8.772	1.628	1.642	0.860	0.084	0.080	-4.762
10°	1.615	1.611	-0.248	-0.133	-0.143	7.519	1.614	1.615	0.0620	0.148	0.140	-5.405
15°	1.569	1.561	-0.510	-0.200	-0.213	6.500	1.568	1.568	0.000	0.213	0.200	-6.103
20°	1.538	1.496	-2.731	-0.249	-0.269	8.032	1.530	1.504	-1.700	0.291	0.266	-8.591
30°	1.432	1.350	-5.726	-0.324	-0.351	8.333	1.402	1.344	-4.137	0.434	0.398	-8.294

The effect of the angle of attack on the heat transfer (C_h) and pressure (C_p) coefficients is shown in Figs. 12 and 13. In this set of plots, the results are presented as a function of the normalized arc distance (s/R_n) measured from the forebody stagnation point to the end of the sting. Here, the *dsmcFoam* results are compared with DSMC computations provided from the DAC [50] and molecular gas dynamics simulator (MGDS) [40] codes, as well as with the experiments performed at the CNRS facilities [48].

According to Fig. 12, *dsmcFoam* shows a good agreement with DAC and MGDS for all angles of attack considered. The heat transfer coefficient is captured well by the numerical codes at the forebody and sting regions; however, a significant difference in C_h is seen between computations and experiments at the probe shoulder ($S/R_n \approx 2$). This difference is even higher when the angle of attack is increased, as shown in Fig. 14. At 30° angle of attack, the flow is compressed against the probe shoulder generating high heating rates in this region. Nevertheless, there is no thermocouple at this position; the last thermocouple on the forebody region is located at $S/R_n = 1.56$ but the simulated heat transfer peak occurs at $S/R_n \approx 2.0$. For this reason, the peak in the heat transfer is not captured by the CNRS experiments. Even at locations where thermocouples are present, both *dsmcFoam* and MGDS predict higher heat fluxes than the experiments. Again, at 30° angle of attack, MGDS predicts a slightly lower heat flux at the stagnation point, compared to *dsmcFoam*. MGDS used a mesh refinement algorithm to ensure cells stay smaller than the local mean free path, whereas *dsmcFoam* uses a fixed grid, so the cells in the high density region near

the stagnation point may be larger than the local mean free path.

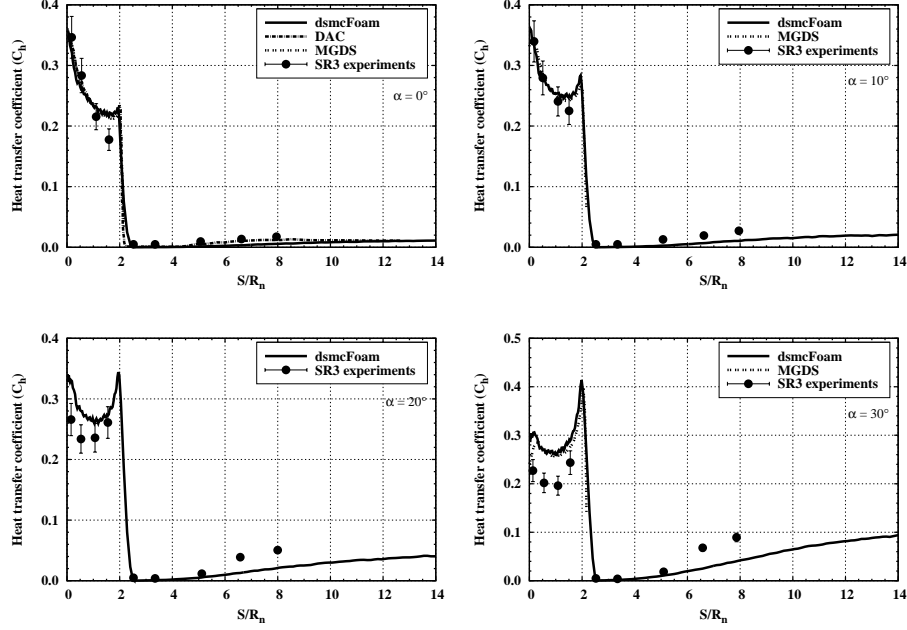


Figure 12: Heat transfer coefficients (C_h) along the Mars Pathfinder surface from *dsmcFoam*, DAC, MGDS and CNRS experiments at different angles of attack (α).

Figure 13 shows the pressure coefficient along the Mars Pathfinder probe surface. Excellent agreement is found between the *dsmcFoam* and DAC codes for 0° angle of attack. As the angle of attack is increased, the pressure coefficient increases at the probe shoulder, following the same trend as the heat transfer coefficient.

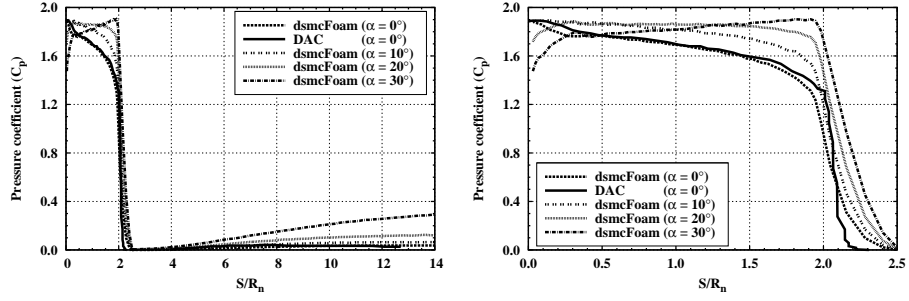


Figure 13: Pressure coefficient (C_p) along the Mars Pathfinder surface for *dsmcFoam* and DAC simulations at different angles of attack (α): full geometry (left), and forebody surface (right).

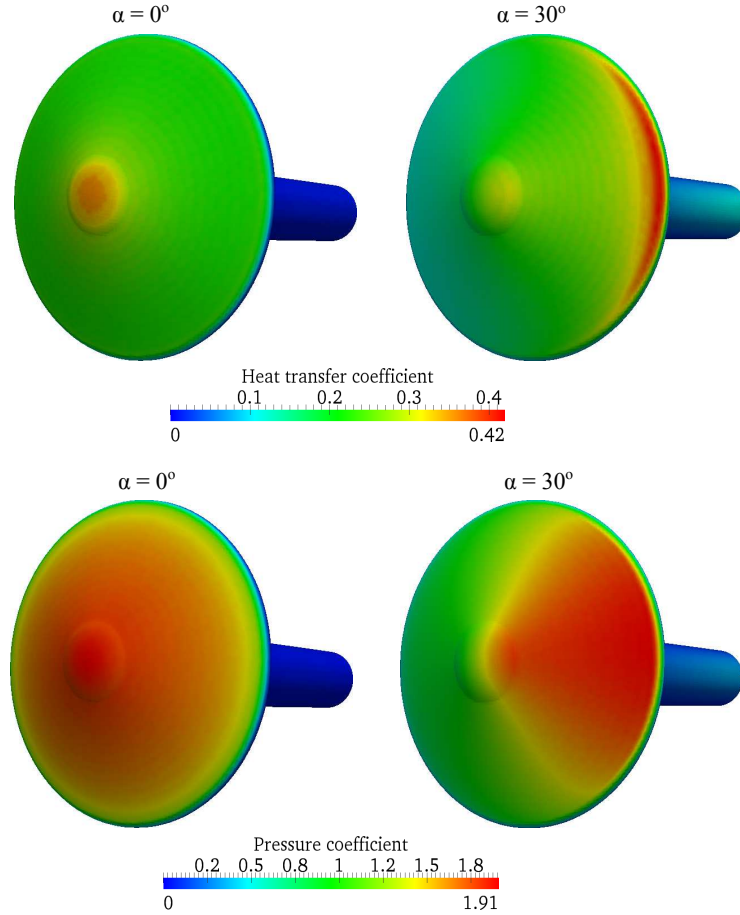


Figure 14: (top) Heat transfer (C_h) and (bottom) pressure (C_p) coefficient contours at 0° (left) and 30° (right) angles of attack (α), and Mach number 20.2.

When a probe enters a planetary atmosphere at high velocities, the forebody flowfield is dominated by a strong shock wave that causes the excitation, dissociation and possibly ionization of the gas surrounding the vehicle. This highly thermochemically non-equilibrium flow rapidly expands around the probe shoulder into the near wake region with a significant increase in rarefaction [49, 58, 65]. The flowfield complexity for the Mars Pathfinder probe is shown in Fig. 15(a). Due to this complexity, the aerothermodynamics of the wake may not be measured accurately; according to Wright and Milos [66] the uncertainty in the aeroheating measurements in this region is typically assumed to be in the range of 50-300%. This level of uncertainty plays a significant role in the vehicle design and the correct selection of a thermal protection system (TPS).

In order to compare the results obtained using the *dsmcFoam* code with those from DAC simulations provided by Moss *et al.* [49], normalised density, velocity, and temperature profiles are presented at four different locations in the probe afterbody region as depicted in Fig. 15(b).

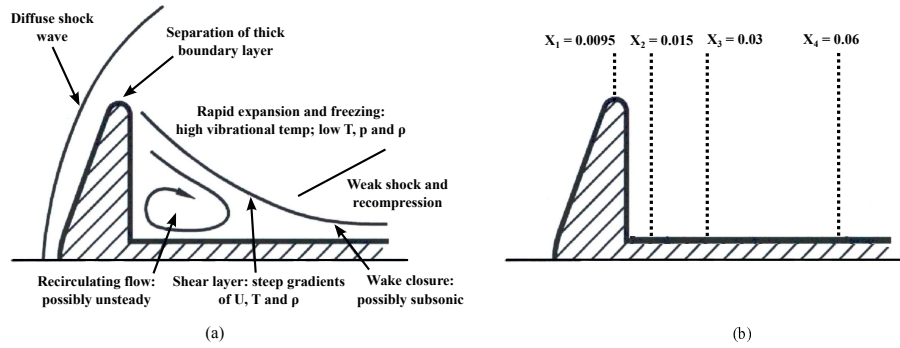


Figure 15: (a) Schematic of the planetary probe flow structure [58], and (b) macroscopic properties measurement locations.

From Figs. 16 to 18, it is clear that there is very good agreement between the DAC and *dsmcFoam* simulations. However, for the density and temperature profiles at location $X_1 = 0.0095$, some slight discordance is observed. In this region a very strong flow expansion occurs and the different mesh densities

between the two simulations may have some influence on the flowfield structure.

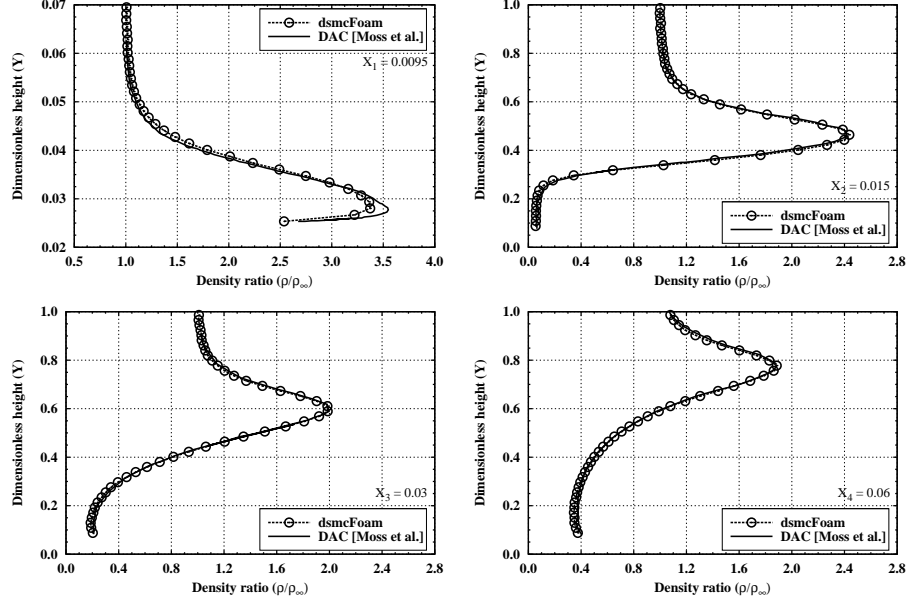


Figure 16: Density profiles (ρ/ρ_∞) computed by *dsmcFoam* and DAC.

To summarize this section, simulations have been performed using the *dsmcFoam* code for non-reacting flows over both flat plates and the Mars Pathfinder probe. The present data are compared with experimental and numerical solutions available in the open literature. Assuming the average uncertainty in the experimental data to be approximately 10% [19, 37, 46–48], a satisfactory level of agreement between the measurements and computations has been achieved.

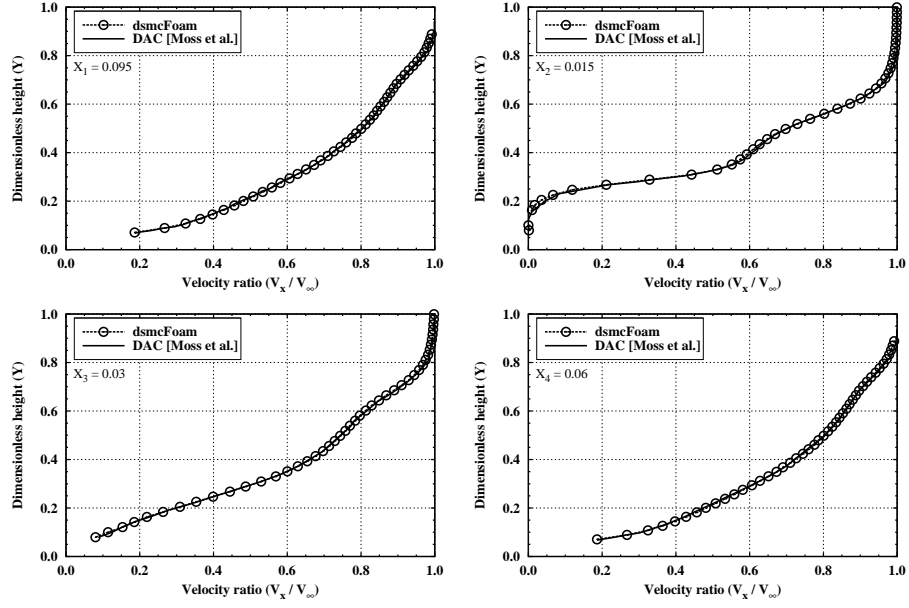


Figure 17: Velocity profiles (V_x/V_∞) computed by *dsmcFoam* and DAC.

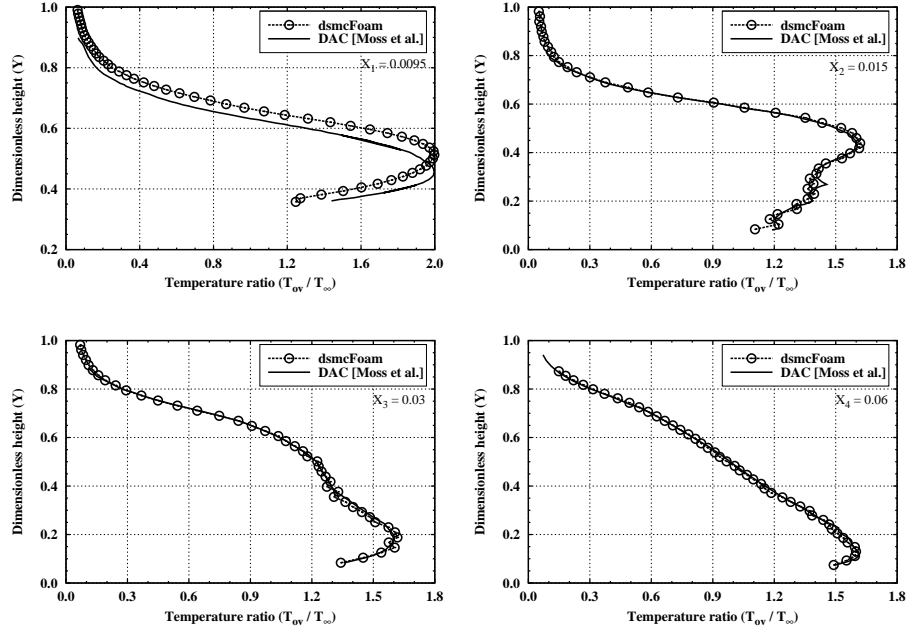


Figure 18: Temperature profiles (T_{ov}/T_∞) computed by *dsmcFoam* and DAC.

4.3. Benchmark Case C: flow in patterned 2D microchannels

430 The previous test cases have been for hypersonic flows, but progress has also
 been made in the extension of the *dsmcFoam* code to subsonic, pressure-driven
 flows in micro- or nano-scale geometries. In contrast to the previous cases where
 the Knudsen number is high because the gas density is low, micro-scale devices
 often operate in standard atmospheric conditions. The Knudsen number is high
 435 in these types of problems because the characteristic length scale L is small.

dsmcFoam has previously been benchmarked for planar Poiseuille flow with
 defined pressure inlets and outlets [67], where comparison to analytical solu-
 tions for the non-linear pressure profile were presented. The general starting
 point for the treatment of an inlet or outlet boundary condition in DSMC is
 440 to impose a particle flux. The rate of particle insertion, \dot{N} , can be computed
 from the equilibrium Maxwell-Boltzmann distribution, which requires bound-
 ary values of temperature, density, and velocity. The streaming velocity profiles
 for internal micro-scale flows at the inlet and outlet boundaries are generally
 not known *a priori*, so the boundary conditions described below use the the-
 445 ory of characteristics to calculate the local streaming velocity as the simulation
 proceeds.

Wang and Li [18] proposed an inlet boundary condition with target gas prop-
 erties of pressure p_{in} and temperature T_{in} , prescribed at the inflow boundary.
 The perfect gas law is used to calculate the inlet number density n_{in} ,

$$n_{in} = \frac{p_{in}}{k_B T_{in}}. \quad (3)$$

Based on the theory of characteristics, the stream-wise u_{in} and tangential v_{in} ve-
 locities at two-dimensional inlet boundary faces f , using values from the bound-
 ary cell centres j , are calculated as

$$(u_{in})_f = u_j + \frac{p_{in} - p_j}{\rho_j a_j}, \quad (4)$$

and

$$(v_{in})_f = v_j, \quad (5)$$

where u_j and v_j are first order extrapolations from the cells attached to the relevant boundary face, ρ is mass density and a is the local speed of sound. The pressure p_j is calculated in these boundary conditions from the overall temperature as:

$$p_j = \rho_j R \left[\left(\frac{3T_{tr} + \bar{\zeta}_{rot} T_{rot}}{3 + \bar{\zeta}_{rot}} \right) \right]_j. \quad (6)$$

At the exit boundaries, only the pressure is defined and the boundary conditions are those first proposed by Nance *et al.* [68]:

$$(\rho_{out})_f = \rho_j + \frac{p_{out} - p_j}{(a_j)^2}, \quad (7)$$

$$(u_{out})_f = u_j + \frac{p_j - p_{out}}{\rho_j a_j}, \quad (8)$$

$$(v_{out})_f = v_j, \quad (9)$$

$$(T_{out})_f = p_{out} / \left[R (\rho_{out})_f \right]. \quad (10)$$

The pressure p_j is again calculated from Eq. (6). The process for selecting the required translational and rotational energies for particles at the boundaries is standard in DSMC, and details can be found in Ref. [9].

450 Here, we investigate a pressure-driven flow through a micro-channel with two
 heated steps on its lower surface, as shown in Fig. 19, which was first considered
 using DSMC by Fang and Liou [69]. The inlet pressure is 0.73 atm and the inlet
 temperature is 300 K, giving an inlet Knudsen number, based on the channel
 height H and the VHS mean free path, of around 0.08. Cases with inlet-to-outlet
 455 pressure ratios (p_{in}/p_{out}) of 2.5 (Case 1) and 4 (Case 2) are investigated here,
 using the inlet and outlet boundary algorithms described above. All surfaces are
 considered to be fully diffuse, with temperatures of 323 K and 523 K for T_1 and
 T_2 , respectively. The non-uniform, non-isothermal geometry greatly increases
 the complexity of the channel flow problem.

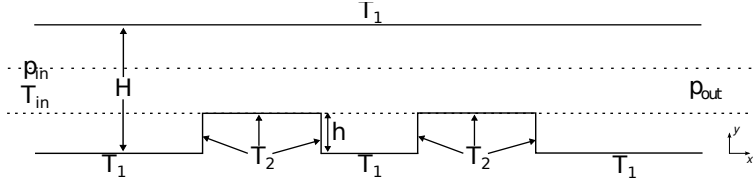


Figure 19: 2D schematic of the patterned microchannel with different wall temperatures. Dashed lines are measurement locations.

460 The channel height H is $0.9 \mu\text{m}$ and the aspect ratio is 6.7. The steps
inside the channel have a height h of $0.3 \mu\text{m}$, a length of $1.0287 \mu\text{m}$, and re-
spective leading edge positions of $1.4787 \mu\text{m}$ and $3.4713 \mu\text{m}$. The working
gas is nitrogen, with standard VHS parameters of $\omega = 0.77$ and $d_{ref} = 4.17 \times$
 10^{-10} m at a reference temperature of 273 K, and Larsen- Borgnakke energy
465 exchange performed on a ‘single molecule’ basis, where each collision partner is
considered in turn for relaxation with a constant rotational relaxation number
of 5. Vibrational energy is excluded from the calculations because of the rel-
atively low temperatures involved. Many of these parameters are not defined
in Fang and Liou [69], so there may be some uncertainty in the results. 7656
470 rectangular computational cells, and a constant time step of $1 \times 10^{-11} \text{ s}$ were
used in the *dsmcFoam* simulations; post-processing of the results confirmed that
these parameters met good DSMC practice throughout the entire domain. The
dsmcFoam results that follow have been sampled for 200,000 time steps after
steady state was achieved. Case 1 comprised around 220,000 DSMC particles,
475 and Case 2 had 300,000. The simulations were performed in parallel on two
cores of a desktop PC equipped with an i7 processor, and took around 24 hours
for each simulation. Figure 20 shows the contours of overall temperature T_{ov}
for Case 2.

Figure 21 shows a comparison of the overall temperature profiles for the
480 $p_{in}/p_{out} = 2.5$ case, along two lines for the length of the channel. These two
locations are illustrated by the dashed lines in Fig. 19; the first location is at the
top of the steps, while the second one is mid-way between the top of the steps and
the upper surface. Excellent agreement between the independent DSMC results

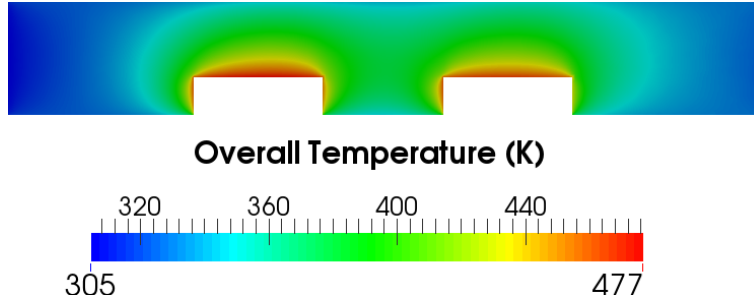


Figure 20: Contours of constant overall temperature, for $p_{in}/p_{out} = 4$.

can be seen here, with the peaks in the temperature profiles corresponding to the locations of the steps. The results for Case 2 also show excellent agreement, but have been omitted for conciseness.

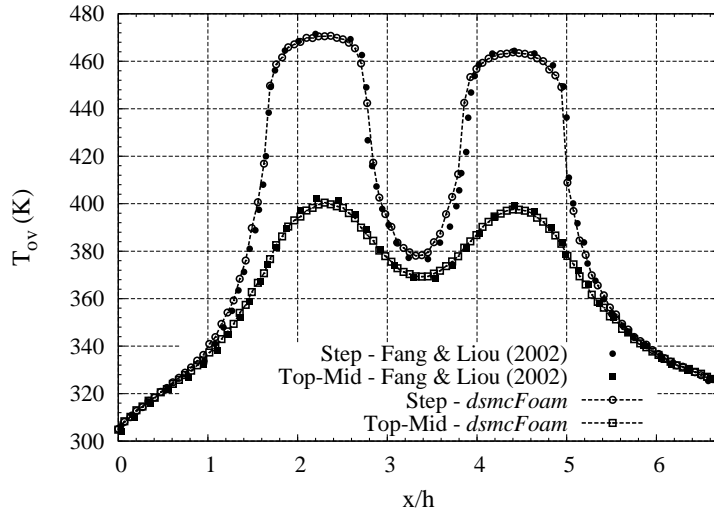


Figure 21: Comparison of temperature distribution results from Fang and Liou [69], and *dsmcFoam* for $p_{in}/p_{out} = 2.5$.

Figure 22 shows the heat transfer at the upper surface for both $p_{in}/p_{out} = 2.5$ and 4. In general, the agreement between the DSMC results is very good, but *dsmcFoam* predicts a slightly higher heat transfer from $x/h = 2.4$ to 3.4 for both pressure ratios. The peak heat transfer around the step locations are lower for the $p_{in}/p_{out} = 4$ case, particularly at the second step, because the gas is more

rarefied and so heat transfer from the gas to the surface is reduced.

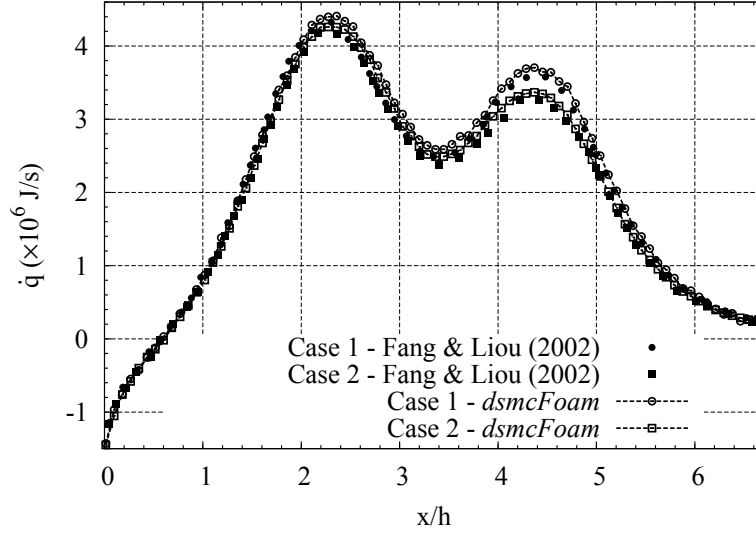


Figure 22: Comparison of the results for heat transfer at the upper surface from Fang and Liou [69] and *dsmcFoam*.

4.4. Benchmark Case D: Knudsen minimum

In order to compare micro-scale results from *dsmcFoam* to available exper-
495 imental data, a series of 2D isothermal pressure-driven Poiseuille flows of nitrogen
gas are solved over a large range of Knudsen number. Figure 23 is a sketch of
the simple geometry.

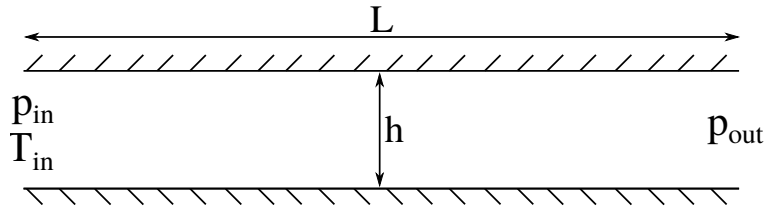


Figure 23: 2D micro-channel geometry for pressure-driven Poiseuille flow.

The variable hard sphere collision model is used with the standard nitrogen
properties at a reference temperature of 273 K, i.e. viscosity coefficient $\omega = 0.74$
500 and reference diameter $d_{ref} = 4.17 \times 10^{-10}$ m. The mass flux \dot{m} is measured

and normalized as follows:

$$Q = \frac{\dot{m}L\sqrt{2RT}}{h(p_{in} - p_{out})}, \quad (11)$$

where L and h are the length and height of the 2D planar Poiseuille flow channel, respectively, and R is the specific gas constant; T is the isothermal temperature that the simulations were performed at, which is 273 K. This value
 505 is used for the boundary condition of the inlet gas temperature, and is also the temperature assigned to the fully diffuse surfaces of the channel walls. The inlet pressure p_{in} and outlet pressure p_{out} are set using the boundary conditions procedure of §4.3. A rarefaction parameter δ_m is defined as the average Kn_m of the inlet and outlet Knudsen numbers (based on the VHS mean free path and
 510 the channel height h) in each case:

$$\delta_m = \frac{\sqrt{\pi}}{2Kn_m}. \quad (12)$$

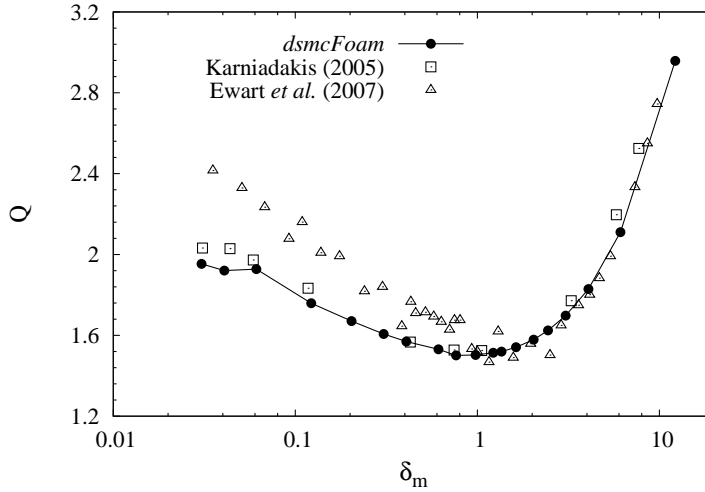


Figure 24: Normalised mass flow rate, showing the Knudsen minimum phenomenon.

The inlet to outlet pressure ratio in all cases is 3, and the aspect ratio of the planar Poiseuille geometries is 20. The gas densities are varied to achieve the different Knudsen numbers. Our *dsmcFoam* results are compared in Fig. 24 to

previous DSMC results [70] using nitrogen gas in a channel of the same aspect
 515 ratio. Experimental results from Ewart *et al.* [71], which were obtained with
 helium gas, are also plotted for comparison. The two sets of DSMC results
 are in good agreement, and the agreement with experimental data is excel-
 lent at low Kn and reasonable at high Kn . It has previously been noted [72]
 that the asymptotic value that Q obtains is proportional to $\ln(L/h)$; since the
 520 experimental work was performed on geometries with very large aspect ratio
 ($L/h = 1000$), it is expected that the DSMC results for an aspect ratio of 20
 will not match exactly. Unfortunately, it is not possible to simulate an aspect
 ratio of 1000 using DSMC, as the velocities would be too low to obtain a con-
 verged solution in a practical time scale. The famous Knudsen minimum [73]
 525 can clearly be observed in Fig. 24, where the normalized mass flow rate has a
 minimum at about $Kn = 1$.

5. Conclusions

The verification and validation of new developments and features in the
dsmcFoam code have been presented for high and speed non-reacting flows in
 530 different geometries. First, sensitivity analyses were carried out for mesh, time
 step, number of samples and particles was carried out for a flow over a zero-
 thickness flat plate. Choosing cell sizes, time steps, number of particles, and
 number of samples within the ranges dictated by good DSMC practice, led to
 solutions that were independent of these simulation parameters.

535 The validation procedure aimed to compare computed *dsmcFoam* results
 with other numerical and experimental data available in the literature. Four
 different geometries were employed in the investigation: sharp and truncated
 flat plates, the Mars Pathfinder probe, a micro-channel with heated steps, and a
 simple micro-channel. In the flat plate cases, the density contours and temper-
 540 ature profiles showed a good concurrence between numerical and experimental
 data. The leading edge shape was shown clearly to influence the surface quan-
 tities. In these results, good agreement was found at the leading and trailing

edges of the sharp and truncated flat plates, respectively. In addition, conventional CFD results showed marked differences from both the DSMC simulations
545 and experimental data, demonstrating that rarefied gas effects are not captured well by a continuum-based solver.

Hypersonic rarefied non-reacting gas flows over the Mars Pathfinder probe were also investigated. The *dsmcFoam* solver demonstrated its capabilities to successfully resolve hypersonic flows over such complex geometries. Aerodynamic surface quantities, the flow structure in the shock and wake regions, the
550 drag, lift, and axial and normal forces acting on the probe all show a high level of agreement with CNRS experiments as well as numerical results from the DAC and MGDS codes.

In addition to the high speed benchmark cases, low speed gas flow through a micro-channel with two heated steps was considered in order to further validate
555 the new pressure-driven *dsmcFoam* boundary conditions. The results were compared with published DSMC simulations, and an excellent level of agreement was found. In order also to compare with available micro-scale experimental data, normalized mass fluxes were calculated over a range of Knudsen numbers
560 to demonstrate that the Knudsen minimum in Poiseuille channel flow can be captured. The results of these cases further validates the work reported in Refs. [15, 67] on subsonic, prescribed pressure inlets and outlets.

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